

Self-certification for entities

Legal entity

On the basis of the international and national statutory provisions in connection with the automatic exchange of information (AEOI), Liechtensteinische Landesbank AG (hereinafter referred to as the "Bank"/"Investment Firm") is obliged to obtain the following information from the entity; the entity is obliged to provide the necessary information, including any changes to it on a timely basis.

Unless the entity states otherwise, the information contained in this form becomes, as from the date of signature, effective for the current reporting period.

1. Identification of the entity

(Mandatory fields in this section are marked with an *)

Name / entity's name:*

Country of foundation:

Address: *¹PO box: ¹

Postcode:*

Town or city:*

Country:*

If the address provided above is different from the address currently recorded at the Bank/Investment Firm as the domicile address, the submission of this form will simultaneously be deemed to be a notification of a change of address for the purposes of the Liechtenstein Due Diligence Ordinance (DDO; Sorgfaltspflichtverordnung – SPV). Any existing instructions to send mail to the domicile address will be deemed changed accordingly on submission of this form. However, any existing instructions to send mail to an address different to the domicile address will remain unaffected.

2. Entity's status

Please indicate the status of the entity by choosing one of the following criteria (only one box may be checked):

Financial institution

Depository Institution, custodial Institution or specified Insurance company

Investment entity located in a NON-participating jurisdiction and managed by another financial institution^{2/3}

Investment entity located in a participating jurisdiction, which is classified as non-reporting (e.g. Trustee-Documented Trust [TDT])

Other investment entity

¹ Addresses may not include the supplements "PO box" or "per" or "Attention" unless these supplements are part of the address registered in the commercial register.

² The entity is deemed a passive NFE.

³ In addition, under the relevant supplementary section of the Liechtenstein Ordinance concerning International Automatic Exchange of Information in Tax Matters (AEOI Ordinance; Verordnung über den internationalen automatischen Informationsaustausch in Steuersachen – AIA-Verordnung), each of the entity's controlling persons must complete and sign Form C or Form T "Identification of the ultimate beneficial owner of legal entities" pursuant to the DDO, and submit these forms to the Bank/Investment Firm.

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Active NFE

Active NFE that is not a financial institution (e.g. trading company or company in the manufacturing sector), a holding NFE or a treasury centre

Tax-exempt, exclusively non-profit entity, which is not a financial institution (Article 2 Paragraph 1 (2) Clause h of the AEOI Act)

Entity the stock of which is regularly traded on an established securities market or a related entity of an entity the stock of which is regularly traded on an established securities market or a governmental entity, an international organization, a central bank or an entity wholly owned by one or more of the foregoing

Start-up NFE or NFE in liquidation

Passive NFE

Entity is not a financial institution³

3. Declaration of information relevant for tax purposes

Please list in the following table all of the entity’s countries of residence for tax purposes together with the associated tax identification numbers (TIN). Where no information is provided, the entity expressly confirms that the country of residence stated under section 1 above is its sole country of residence for tax purposes. In these circumstances too, the entity must provide the Bank/Investment Firm with a TIN or, if a TIN is unavailable, indicate the reason for this.

Countries of residence for tax purposes (please list all countries)	TIN	Reasons why TIN is not available

4. Declaration

The entity acknowledges that it will be obliged to submit a new self-certification form to the Bank/Investment Firm within 90 days or by the end of the calendar year, whichever date is later, if any of the information provided in this form changes.

The entity acknowledges that, where the relevant international and national statutory provisions are satisfied, the Bank/Investment Firm may be obliged to report information about the entity and its banking relationship(s) with the Bank/Investment Firm, and about its controlling persons, to the Liechtenstein tax authority to be passed on to the relevant tax authorities of the country, or countries, of residence for tax purposes.

The entity confirms that the information provided on this form is, to the best of its knowledge, complete and correct.

The entity acknowledges that the deliberate or negligent provision of false information in this self-certification form, failure to provide information about a change in circumstances and the provision of false information in connection with a change in circumstances are punishable offences under Article 28(3)(c) of the Act concerning International Automatic Exchange of Information in Tax Matters (AEOI Act; Gesetz über den internationalen automatischen Informationsaustausch in Steuersachen – AIA-Gesetz).

By signing this form, the signatory confirms that he/she is authorised to represent the entity.

In accordance with Article 4a(2) of the AEOI Ordinance, the legal entity confirms that the contents of this form comply precisely with the provisions of Article 4a(1) Appendix 3 of the AEOI Ordinance.

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Place and date

Signature of the authorised representative of the entity

Will be completed by the bank. Ersteller Ordernummer	Verantwortlicher Kurzzeichen, Unterschrift	Erstkontrolle Datum, Kurzzeichen, Unterschrift	Zweitkontrolle Datum, Kurzzeichen, Unterschrift
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