

**Business relationship profile  
(Companies)**

Account holder

Thank you for providing the detailed information required for this client profile. We very much appreciate your assistance. Having a complete and detailed profile allows us to respond more swiftly to your requirements. To help you complete our client profile, please refer to the "[Guidance on completing client profiles](#)".

**1. General information on the legal entity**

## 1.1 Type of company

Active company (answer questions 1.1.1-1.1.6)

Domiciliary company (answer questions 1.2.1-1.2.3)

## 1.1.1 Active company type

Manufacturing company

Trading company

Service provider

Holding company

Other (please specify):

1.1.2 Active company: What is the company's principal business activity? What products / services does the company provide? Who are the company's suppliers, clients, business partners, etc. What are the company's anticipated sales / earnings figures, or what were its average sales / earnings figures over the last few years? Website? Branch?

1.1.3 Active company: When was the company established?

1.1.4 Active company: Number of employees:

1.1.5 Active company: What are the countries / regions/ markets in which the company predominantly operates? (for example, focus markets)

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1.1.6 Active company: Does the company have contracts with government authorities, publicly owned companies or the alike? If yes, please specify which ones.

Yes          No

**1.2 Domiciliary company****1.2.1 Domiciliary company type**

Asset management domiciliary company

**1.2.1.1 Is it a holding company?**

no holding

at least 80% of the income or assets of the holding company come from operating companies

at least 20% of the income or assets of the holding company do not come from operating companies

**1.2.2 Is the actual settlor deceased?**

Yes          No

**1.2.2.1 Year of death of actual settlor****1.2.2.2 Name of actual settlor**

1.2.3 Domiciliary company: Background information to the legal entity: establishment date / (main) purpose / websites/ locations / (main-) markets / business partners / etc.

**1.3 Non-profit organisation / charity:**

Yes          No

**2. Economic background / origin of the assets****2.1 Brief information to the origin of the assets (multiple responses allowed)**

Salary / occupation

Annuity / pension

Savings

Inheritance / gift

Business activity

Sale proceeds / shareholdings and other investments / real estate

Financial income

Pension assets

Other (please specify):

2.1.1 If inheritance/gift: Who is the testator/donor (name, date of birth, nationality, domicile, profession)? How were the funds for the inheritance / gift originally generated by this person?

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2.1.2 If sale proceeds / shareholdings and other investments / real estate: Please provide further background information on the investments /real estate.

2.2 Occupation and business activity of actual depositor / ultimate beneficial owner:

2.3 Sector of the actual depositor / beneficial owner (for non-operating companies, please indicate the sector in which the actual depositor/ultimate beneficial owner generates or has generated the assets concerned):

2.4 Source of Funds (SoF): Detailed and plausible description of the origin of assets: How were the assets generated and who gained them?

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2.4.1 Source of Wealth (SoW): Detailed and plausible description of the origin of assets: How were the assets generated and who gained them?

2.4.2 Source of Wealth (SoW): In which countries were the assets gained? (max. 5 entries)

2.4.3 Does the BO and/or his business have a close connection to government authorities, public companies or the like (e.g. contracts)? If yes, please specify.

Yes          No

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2.5 Can you provide documentary evidence of the source of the funds and source of wealth (e.g. contracts, certificate of inheritance, accounts, delivery notes, etc. / for operating companies, in particular, e.g. annual reports, organisation charts, business plans, marketing documents, websites, etc.)? Please send us copies of these documents.

2.6 Type of deposit (multiple responses allowed)

Transfer                      Cash                      Delivery of securities / precious metals

2.7 Estimated deposit volume

Currency:

0 – 100'000	100'000 – 250'000	250'000 – 500'000	500'000 – 1m
1m – 2m	2m – 5m	5m – 10m	10m– 25m
25m – 50m	> 50m		

2.8 Origin of the first incoming payments

2.8.1 Country of origin of the first incoming payments (max 3. entries)

2.8.2 Bank of the first incoming payments

2.8.3 Counterparty of the first incoming payments

**3. Purpose of the bank account / intended use of the assets**

3.1 Purpose of the bank account (multiple responses allowed)

payment transactions                      financing                      asset management                      savings

3.2 Investment horizon:

Short-term (less than 12 months)                      Medium- to long-term (more than 12 months)

3.3 Business activity (payment transactions). Anticipated transactions (incoming and outgoing payments without initial transaction) p.a.

Currency:

0 – 100'000	100'000 – 250'000	250'000 – 500'000	500'000 – 1m
1m – 2m	2m – 5m	5m – 10m	10m– 25m
25m – 50m	> 50m		

3.4 Please specify the purpose for which assets will be used in the event of future withdrawals (mandatory information)

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3.4.1 Please specify the countries into which the money is planned to be moved (max. 5 entries).

3.4.2 Loan payments

3.4.2.1 Are inflows or outflows planned for this business relationship due to existing or new loan agreements?

Yes          No

3.4.2.2 If yes: Please provide further information on the loan (details of the lender / borrower, duration of the loan, total amount, details of the existing contracts / additional documents, current repayment status, background of the loan, connection between service provider and recipient)

3.5 Estimated withdrawals in cash p.a. (without delivery of securities) (mandatory information):

Currency:

0 – 50'000	100'001-200'000
50'001-100'000	>200'000

3.5.1 Reason for expected withdrawals in cash p.a. (without delivery of securities)

**4. Information on the financial situation and family circumstances of the beneficial owner / actual depositor**

4.1 Regular income p.a.

Currency:

0 – 100'000	100'000 – 250'000	250'000 – 500'000	500'000 – 1m
1m – 2m	2m – 5m	5m – 10m	10m– 25m
25m – 50m	> 50m		

4.2 Estimated total assets

Currency:

0 – 100'000	100'000 – 250'000	250'000 – 500'000	500'000 – 1m
1m – 2m	2m – 5m	5m – 10m	10m– 25m
25m – 50m	> 50m		

4.3 Source of Wealth (SoW): What are these assets composed of (bankable assets, real estate, works of art, shareholdings and investments, etc.)?



**Business relationship profile  
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- Organisation chart (mandatory from 2 levels)
- Document on the beneficiary scheme (e.g. by-laws) or inspection
- Other (e.g. share certificate)

**7.3 Register of beneficial ownership**

- Proof of registration or excerpt of the register
- No obligation to register – reason

**8. Complex Structure**

- 8.1 Please list all counterparties with whom the contractual partner conducts the majority of the transactions (approx. 80%). Please also briefly explain the respective connection between the contractual partner and the respective counterparty.

**9. Further remarks for KYC purposes**

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Place and date

**Signature Client advisor / Client** (optional)

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Will be completed by the bank. Ersteller Ordernummer	<b>Verantwortlicher</b> Kurzzeichen, Unterschrift	<b>Erstkontrolle</b> Datum, Kurzzeichen, Unterschrift	<b>Zweitkontrolle</b> Datum, Kurzzeichen, Unterschrift
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