

Form for identification of the ultimate beneficial owner of legal entities as referred to in Article 3(1)(b) DDO (Form T)Legal entity or account holder¹

The following person has been identified as the beneficial owner as referred to in Article 3(1)(b) DDO:

- a natural person who is the effective, non-fiduciary founder or settlor
- a natural or legal person who is a member of the foundation council or board of directors or who serves as a trustee
- a natural person who is a protector or performs a similar or equivalent role
- a natural person who is a beneficiary
- a natural person who ultimately controls the legal entity as a result of direct or indirect ownership rights or by some other means
- a legal entity² who is a beneficiary and who meets the requirements of Article 2(1)(b) of the Liechtenstein Due Diligence Act (DDA; Sorgfaltspflichtgesetz – SPG). The contracting partner must provide the appropriate evidence.

Last name of the natural person*

First name of the natural person*

Company name of the legal entity²

Street

Postal code

Town

Country of residence

Nationality*

Date of birth

a discretionary legal entity that is primarily established or operated in the interest of the following group of persons:

Deliberately providing false information on this form constitutes a criminal offence under the Liechtenstein Criminal Code (*Strafgesetzbuch*). Any changes must be immediately notified to the person subject to due diligence.

¹ In the case of deviating designations, the name of the account holder must be stated as a mandatory requirement.

² It is sufficient to nominate a legal entity only in the case of:

- a legal entity who is a member of the foundation council or board of directors or who serves as a trustee
- beneficiaries for whom the contracting partner provides evidence of entity status under the terms of Article 2(1)(b) DDA.

The fields marked * need only be completed in respect of natural persons.

Form for identification of the ultimate beneficial owner of legal entities as referred to in Article 3(1)(b) DDO (Form T)

Client number
(Will be completed by the bank)

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Supplementary section of the form "Identification of the ultimate beneficial owner of legal entities" pursuant to Article 3(1)(b) of the Liechtenstein Due Diligence Ordinance (DDO; Sorgfaltspflichtverordnung – SPV) (Form T) based on Article 4a(1)(b) and Article 4a(2) of the Liechtenstein Ordinance concerning International Automatic Exchange of Information in Tax Matters

The purpose of this supplementary section is to determine the tax residency of the controlling persons of a passive NFE or of an investment entity located in a non-participating jurisdiction and managed by another financial institution.

Declaration of information relevant for tax purposes

Please list in the following table all countries of residence for tax purposes of the individuals mentioned in Form T together with the associated tax identification numbers (TIN). Where no information is provided, the entity expressly confirms that the country of residence stated in Form T is its sole country of residence for tax purposes. In these circumstances too, the entity must provide the Bank/Investment Firm with a TIN or, if a TIN is unavailable, indicate the reason for this unless the individuals mentioned in Form T are not reportable persons.

Countries of residence for tax purposes (please list all countries)	TIN	Reasons why TIN is not available

Declaration

The entity acknowledges that it will be obliged to submit the form "Identification of the ultimate beneficial owner of legal entities" in accordance with Article 3(1)(b) of the DDO (Form T) and the supplementary section of this DDO form to the Bank/Investment Firm within 90 days or by the end of the calendar year, whichever date is later, if any of the information provided in this supplementary section changes.

The entity acknowledges that, where the relevant international and national statutory provisions are satisfied, the Bank/Investment Firm may be obliged to report information about the entity and its banking relationship(s) with the Bank/Investment Firm, and about its controlling persons, to the Liechtenstein tax authority to be passed on to the relevant tax authorities of the country, or countries, of residence for tax purposes.

The entity confirms that the information provided in this supplementary section is, to the best of its knowledge, complete and correct.

The entity acknowledges that the deliberate or negligent provision of false information in this supplementary section, failure to provide information about a change in circumstances and the provision of false information in connection with a change in circumstances are punishable offences under Article 28(3)(c) of the Act concerning International Automatic Exchange of Information in Tax Matters (AEOI Act; *Gesetz über den internationalen automatischen Informationsaustausch in Steuersachen – AIA-Gesetz*).

By signing this supplementary section, the signatory confirms that he/she is authorised to represent the entity.

Place and date

Signature(s) of the entity's authorised signatory (signatories)

Will be completed by the bank. Ersteller Ordernummer	Verantwortlicher* Kurzzeichen, Unterschrift	Erstkontrolle Datum, Kurzzeichen, Unterschrift	Zweitkontrolle Datum, Kurzzeichen, Unterschrift
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*Hiermit bestätige ich, die Plausibilität der Angaben geprüft zu haben.

*Name Checking durchgeführt